



## SANCTIONS

### in the case of a violation of the EAZA Code of Practice, Code of Ethics, or EEP procedures

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In the case of a violation of the EAZA Code of Practice, the EAZA Code of Ethics or the EEP procedures, three levels of sanction can be imposed by the responsible bodies of EAZA:

- I. Warning
- II. Exclusion
- III. Termination

#### I. **Warning**

A warning can be given to an EAZA member institution by the EEP Committee or the Membership and Ethics Committee for one or more of the following reasons:

1. not following recommendations from EEP coordinators for animal transfer between officially approved EEP participants, also including non-EAZA EEP participants;
2. claiming for money for an EEP animal\*;
3. transferring, importing or releasing an animal from the EEP population without the knowledge and approval of the EEP Coordinator;
4. repeatedly not responding to relevant requests from the species coordinator within a time period of six months;
5. other violations of EEP rules;
6. not keeping appropriate records as designated in the EAZA Minimum Standards for the Accommodation and Care of Animals in Zoos and Aquaria; non-participation in ZIMS (and/or ISIS membership) or not updating ZIMS (ARKS data) in the preceding six months;
7. non-fulfilment of the role and duties as the responsible EEP Coordinator;
8. smaller violations of the Code of Ethics (e.g. issues which harm the community's common interests regarding EEPs, image, or animal exchanges, or harm other members or their image).

Warnings are registered by the EEO (EAZA Executive Office), and Council is notified about each warning. Issues with relevance to all members can be communicated to the whole membership. The problem(s) shall be rectified or settled with the respective committees by the member institution within six months of the warning being issued; if not, a further warning will automatically be due. Warnings are purged five years after they have been issued.

#### II. **Exclusion**

The 'Excluded' status can be imposed on an EAZA member institution by the Executive Committee for the following reasons:

1. following three warnings from the EEP and/or the Membership and Ethics Committee;
2. severe violations of the EAZA Code of Practice, Code of Ethics, or EEP rules (examples of severe violations are actions which are not in line with animal welfare or nature conservation regulations or irresponsible dealing with "surplus" animals).

The 'Excluded' status lasts for two years and is registered by the EEO and communicated to the EAZA membership as well as to Coordinators. Relevant partner organisations are also notified. Members with 'Excluded' status cannot be Council members and cannot have any other functional roles in EAZA (e.g. members of EAZA committees, EEP Species Committees, TAGs, etc.). Members with 'Excluded' status cannot attend any EAZA meetings with the exception of the Annual General Meeting, and do not have access to the Member Area of the EAZA website. Members with 'Excluded' status are still required to follow all EEP/ESB rules for the EEP/ESB animals they have already, but cannot acquire new EEP species. Exclusion status will be lifted after two years if the member cooperates fully with the EEP(s) and does not receive any new warnings. If the member continues to violate EEP rules or receives further warnings the Executive Committee will recommend the member to Council for termination.

### **III. Termination**

The Executive Committee can propose termination to Council in the following cases:

1. members with Excluded status who have not fully cooperated with EAZA committees, or have not abided by the EAZA Code of Practice, Code of Ethics or EEP procedures in the preceding two years;
2. very severe violations of the EAZA Code of Practice, Code of Ethics or EEP procedures.

A termination is communicated to the whole membership. Terminated members can apply for new membership after five years and must go through the full accreditation procedure.

**Approved by EAZA Council, 9<sup>th</sup> May 2010**

*\*'EEP animals' are 'animals designated as being within the managed population of those species for which there are approved EEP programmes' (EAZA Council Decision 2003)*